

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

The City of Chicago (the "City") requires disclosure of the information requested in this Economic Disclosure Statement and Affidavit ("EDS") before any City agency, department or City Council action regarding the matter that is the subject of this EDS. Please fully complete each statement, with all information current as of the date this EDS is signed. If a question is not applicable, answer with "N.A." An incomplete EDS will be returned and any City action will be interrupted.

Please **print or type** all responses clearly and legibly. Add additional pages if needed, being careful to identify the portion of the EDS to which each additional page refers.

#### WHO MUST SUBMIT AN EDS:

- 1. <u>Applicants:</u> Any individual or entity (the "Applicant") making an application to the City for action requiring City Council or other City agency approval must file this EDS.
- 2. Entities holding an interest in the Applicant: Generally, whenever an ownership interest in the Applicant (for example, shares of stock of the Applicant or a limited partnership interest in the Applicant) is held or owned by a legal entity (for example, a corporation or partnership, rather than an individual) each such legal entity must also file an EDS on its own behalf, and any parent of that legal entity must do so until individual owners are disclosed. However, if an entity filing an EDS is a corporation whose shares are registered on a national securities exchange pursuant to the Securities Exchange Act of 1934, only those shareholders that own 10% or more of that filing entity's stock must file EDSs on their own behalf.

ACKNOWLEDGMENT OF POSSIBLE CREDIT AND OTHER CHECKS: By completing and filing this EDS, the Undersigned acknowledges and agrees, on behalf of itself and the entities or individuals named in this EDS, that the City may investigate the creditworthiness of some or all of the entities or individuals named in this EDS.

CERTIFYING THIS EDS: Execute the certification on the date of the initial submission of this EDS. You may be asked to re-certify this EDS on the last page as of the date of submission of any related ordinance to the City Council, or as of the date of the closing of your transaction.

PUBLIC DISCLOSURE: It is the City's policy to make this document available to the public on its Internet site and/or upon request.

GLIVE	LIVAL HALOI	KIAIW LIOIA						
Date	this EDS is c	ompleted:		August 29	, 2005			
A. throug					vidual or entity will be the "Undersigned" evelopment, L.L.C.			
Unde EDS r requir EDS,	rsigned is a requires cer red from ent	n Applicant tain disclos ities holdin	or is a ures a g an ii	an entity h and certific aterest in	entity submitting this EDS, whether the holding an interest in the Applicant. This cations from Applicants that are not the Applicant. When completing this u are completing applies only to			
[X]	Check here if the Undersigned is filing this EDS as an Applicant.							
[ ] Applic		if the Unde	rsigned	l is filing as	s an entity holding an interest in an			
	Also, please	e identify the	Applic	cant in which	ch this entity holds an interest:			
B.	Business address of the Undersigned: c/o MR Properties, L.L.C.  1350 E. Touhy Avenue, Suite 370W							
					Des Plaines, IL 60018			
C. :	Telephone	847-699- 6600	Fax:	847-699- 6613	Email: PMappa@MRPropertiesLLLC.com			
D.	Name of co	ntact person	: <u>Ph</u>	ilip I. Mapp	oa or Colin A. Regan			
E	Tax identific	ation numbe	er (onti	onal).	36-4390722			

	Is the Matter a procurement? [ ] Yes [X] No If a procurement, Specification # And Contract #
	If not a procurement: 1. City Agency requesting EDS: Department of Planning and Development
	City action requested (e.g. loan, grant, sale of property): Financing
	Thanong
	3. If property involved, list property location: 950 W. Monroe Street, Chicago, IL 60607
C'	If property involved, list property location:
<u>c</u> -	3. If property involved, list property location: 950 W. Monroe Street, Chicago, IL 60607  TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS  NATURE OF ENTITY
<u>C</u> -	3. If property involved, list property location: 950 W. Monroe Street, Chicago, IL 60607  TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS  NATURE OF ENTITY Indicate whether the Undersigned is an individual or legal entity: [] Individual [X] Limited Liability Company
<u>c</u>	3. If property involved, list property location: 950 W. Monroe Street, Chicago, IL 60607  TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS  NATURE OF ENTITY Indicate whether the Undersigned is an individual or legal entity: [] Individual [X] Limited Liability Company [] Business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation also a 501(c)(3))?
<b>3</b>	3. If property involved, list property location: 950 W. Monroe Street, Chicago, IL 60607  TION ONE: DISCLOSURE OF OWNERSHIP INTERESTS  NATURE OF ENTITY Indicate whether the Undersigned is an individual or legal entity: [] Individual [X] Limited Liability Company [] Business corporation [] Joint venture [] Sole proprietorship [] Not-for-profit corporation

### **B.** ORGANIZATION INFORMATION

corporation. For r corporation, and members, write "i	not-for-profit co indicate all me	orporations, al	so list belov	w any execu		he
members, write	no members.					
Name		Title				
	"N	I.A."				
				*		
		<u> </u>		<u> </u>	*	•
		<del></del>		•		
equal to or in exce						
Name		Business Add			ntage Interest	
		Business Add				
		Business Add				
		Business Add				
	"N er is not a proc red on a natio 1934, please p	Business Add  .A."  curement, and anal securities rovide the following the control of the contro	the Unders	Perce signed is a co pursuant to t mation conce	orporation whose securities erning sharehold	ders
b(2). If the Mattershares are register Exchange Act of 1	er is not a proc red on a natio 1934, please p equal to or in e	Business Add  .A."  curement, and anal securities rovide the following the control of the contro	the Unders exchange powing inform of the corp	Perce signed is a co pursuant to t mation conce oration's out	orporation whose securities erning sharehold	ders
b(2). If the Mattershares are register Exchange Act of 1 who own shares e	er is not a proc red on a natio 1934, please p equal to or in e	Business Add  .A."  curement, and mal securities rovide the followoods of 10%	the Unders exchange powing inform of the corp	Perce signed is a co pursuant to t mation conce oration's out	orporation whos he Securities erning sharehold standing shares	ders

to the Securities E	ations that are not registered on a natio xchange Act of 1934, list below the nar ership interest of each shareholder.	
Name	Business Address	Percentage Interest
	"N.A."	<u> </u>
<u> </u>		<u> </u>
· · · · · · · · · · · · · · · · · · ·		
For general or limit and percentage of	DERSIGNED IS A PARTNERSHIP OR ted partnerships or joint ventures: list b ownership interest of each partner. For ner is a general partner or a limited par	elow the name, business address r limited partnerships, indicate
Name	Business Address	Percentage Interest
· · · · · · · · · · · · · · · · · · ·	"N.A."	
· <u> </u>	<u> </u>	·
a. List below the na	SIGNED IS A LIMITED LIABILITY CON ame, business address and percentage anager. If there are no managers, write naged.	of ownership interest of each (i)
Name	Business Address	Percentage Interest
Philip I. Mappa, M (Member	anager, 1350 E. Touhy Avenue, Des P & Manager)	Plaines, IL 60018 32.5%
Colin A. Regan, M (Member & Manag	lanager, 1350 E. Touhy Avenue, Des F	Plaines, IL 60018 32.5%
Salomon J. Dayan (Member only)	Trust, c/o Dr. Salomon Dayan	on II 60201 17 59/
	2837 Sheridan Place, Evansto st, c/o Mr. Raymond Dayan	UII, IL 0020 1 17.370
(Member only)	1000 Lake Shore Drive, Chica	ago, IL 60610 17.5%

Name	Title	
	"No Officers"	
	No Officers	<del></del>
	· · · · · · · · · · · · · · · · · · ·	
SIMILAR ENTITY: a. List below the		NESS TRUST, ESTATE OR OTHER ach individual or legal entity holding
Name	Business Address	
	"N.A."	
		<u> </u>
b. List below th	· · · · · · · · · · · · · · · · · · ·	
b. List below th beneficiary on whos	ne name, business address and per	
b. List below th beneficiary on whos	ne name, business address and per se behalf title is held.  Business Address	centage of beneficial interest of each
b. List below th beneficiary on whos Name	ne name, business address and per se behalf title is held. Business Address "N.A."	centage of beneficial interest of each Percentage Interest
b. List below th beneficiary on whos Name	ne name, business address and per se behalf title is held. Business Address "N.A."	centage of beneficial interest of each Percentage Interest
b. List below th beneficiary on whos Name 5. IF THE UNDERS provide the name, b	ne name, business address and per se behalf title is held.  Business Address  "N.A."	Percentage Interest  Percentage Interest  ITITY, first describe the entity, then ge of interest of all individuals or
b. List below the beneficiary on whose Name  5. IF THE UNDERS provide the name, by legal entities having	ne name, business address and perse behalf title is held.  Business Address  "N.A."  SIGNED IS ANY OTHER LEGAL EN pusiness address, and the percental an ownership or other beneficial in	Percentage Interest  Percentage Interest  ITITY, first describe the entity, then ge of interest of all individuals or
b. List below the beneficiary on whose Name  5. IF THE UNDERS provide the name, by legal entities having	ne name, business address and perse behalf title is held.  Business Address  "N.A."  BIGNED IS ANY OTHER LEGAL EN	Percentage Interest  Percentage Interest  ITITY, first describe the entity, then ge of interest of all individuals or
b. List below the beneficiary on whose Name  5. IF THE UNDERS provide the name, by legal entities having	ne name, business address and perse behalf title is held.  Business Address  "N.A."  SIGNED IS ANY OTHER LEGAL EN pusiness address, and the percental an ownership or other beneficial in	Percentage Interest  Percentage Interest  ITITY, first describe the entity, then ge of interest of all individuals or

Name	Business Address	Percentage Interest
	"N.A."	
	· · · · · · · · · · · · · · · · · · ·	

#### SECTION TWO: BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

#### A. DEFINITIONS AND DISCLOSURE REQUIREMENT

- 1. The Undersigned must indicate whether it had a "business relationship" with a City elected official in the 12 months before the date this EDS is signed.
- Pursuant to Chapter 2-156 of the Municipal Code of Chicago (the "Municipal Code"), a "business relationship" means any "contractual or other private business dealing" of an official, or his or her spouse, or of any entity in which an official or his or her spouse has a "financial interest," with a person or entity which entitles an official to compensation or payment in the amount of \$2,500 or more in a calendar year; but a "financial interest" does not include: (i) any ownership through purchase at fair market value or inheritance of less than 1% of the shares of a corporation, or any corporate subsidiary, parent or affiliate thereof, regardless of the value of or dividends on such shares, if such shares are registered on a securities exchange pursuant to the Securities Exchange Act of 1934, as amended. (ii) the authorized compensation paid to an official or employee for his office or employment; (iii) any economic benefit provided equally to all residents of the City; (iv) a time or demand deposit in a financial institution; or (v) an endowment or insurance policy or annuity contract purchased from an insurance company. A "contractual or other private business dealing" does not include any employment relationship of an official's spouse with an entity when such spouse has no discretion concerning or input relating to the relationship between that entity and the City.

#### B. CERTIFICATION

1.	Has the Ur	ndersigned had a "busir	ness relationship	" with	any City	elected	official in
the	12 months bet	fore the date this EDS i	s signed?				
	[] Yes	[X] No					

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

#### SECTION THREE: DISCLOSURE OF RETAINED PARTIES

#### DEFINITIONS AND DISCLOSURE REQUIREMENTS A.

The Undersigned must disclose certain information about attorneys, lobbyists. accountants, consultants, subcontractors, and any other person whom the Undersigned has retained or expects to retain in connection with the Matter. In particular, the Undersigned must disclose the name of each such person, his/her business address, the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Undersigned is not required to disclose employees who are paid solely through the Undersigned's regular payroll.

"Lobbyist" means any person (i) who, for compensation or on behalf of any person other than himself, undertakes to influence any legislative or administrative action, or (ii) any part of whose duty as an employee of another includes undertaking to influence any legislative or administrative action.

If the Undersigned is uncertain whether a disclosure is required under this Section, 2. the Undersigned must either ask the City whether disclosure is required or make the disclosure.

#### **B. CERTIFICATION**

Each and every attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained directly by the Undersigned with respect to or in connection with the Matter is listed below [begin list here, add sheets as necessary]:

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Undersigned (attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)		
Philip L. Mandell	Pitler and Mandell	Attorney	Paid		
	39 S. LaSalle Street				
	Suite 1220				
	Chicago, IL 60603				

<sup>[ ]</sup> CHECK HERE IF NO SUCH INDIVIDUALS HAVE BEEN RETAINED BY THE UNDERSIGNED OR ARE ANTICIPATED TO BE RETAINED BY THE UNDERSIGNED.

#### **SECTION FOUR: CERTIFICATIONS**

#### I. CERTIFICATION OF COMPLIANCE

For purposes of the certifications in A, B, and C below, the term "affiliate" means any individual or entity that, directly or indirectly: controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. A. The Undersigned is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Undersigned or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes. If there are any such delinquencies, note them below:

				*						
	<del></del>			NONE						-
					<u>.</u>	·		_		
If the letters conclusively  B. The Unde of any City, s violations, no	presum rsigned state or	ed that the and its aff federal en	e Under filiates h	signed co ave not,	ertified in the	to the	e abov ive yea	e state ars, be	ements. en found	d in violation
				NONE					· ·	
			·	***						

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

D. If the Unde contractors/su equal in form the prior writte provide such or cannot provide certifications rexplanation:	rsigned is abcontracted and substant consent certification vide truthful certification vide vide vide vide vide vide vide vide	the Applic ors hired c ance to the t of the Cit ns or that al certificat	cant, the or to be h ose in Se y, use ar the Unde tions. If th	Undersigned in control of the contro	gned will onnectio our, I, (A- contracto has reas rsigned i	obtain from with the C) above or/subcont son to belications.	om any Matter ce and will no ractor that eve has n o make th	rtification ot, with t does rot ot provine	ons out not

If the Undersigned is the Applicant, the Undersigned and its affiliates will not use, nor

permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# II. CHILD SUPPORT OBLIGATIONS - CERTIFICATION REGARDING COURTORDERED CHILD SUPPORT COMPLIANCE

For purposes of this part, "Substantial Owner" means any individual who, directly or indirectly, owns or holds a 10% or more interest in the Undersigned. Note: *This may include individuals disclosed in Section One (Disclosure of Ownership Interests), and individuals disclosed in an EDS filed by an entity holding an interest in the Applicant.* 

If the Undersigned's response below is #1 or #2, then all of the Undersigned's Substantial Owners must remain in compliance with any such child support obligations until the Matter is completed. Failure of the Undersigned's Substantial Owners to remain in compliance with their child support obligations in the manner set forth in either #1 or #2 constitutes an event of default.

C.

#### Check one:

- X 1. No Substantial Owner has been declared in arrearage on any child support obligations by the Circuit Court of Cook County, Illinois or by another Illinois court of competent jurisdiction.
- \_\_\_\_\_ 2. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
- \_\_\_\_\_3. The Circuit Court of Cook County, Illinois or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on child support obligations and (a) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support owed; or (b) at least one such Substantial Owner is not in compliance with a courtapproved agreement for the payment of all such child support owed; or both (a) and (b).
- There are no Substantial Owners.

#### III. FURTHER CERTIFICATIONS

- A. The Undersigned and, if the Undersigned is a legal entity, its principals (officers, directors, partners, members, managers, executive director):
- are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- 2. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- are not presently indicted for or otherwise criminally or civilly charged by a
  governmental entity (federal, state or local) with commission of any of the offenses
  enumerated in clause (A)(2) of this section;
- 4. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, in any criminal or civil action instituted by the City or by the federal government, any state, or any other unit of local government.
- B. The certifications in subparts B and D concern:
  - · the Undersigned;
  - any party participating in the performance of the Matter ("an Applicable Party");
  - any "Affiliated Entity" (meaning an individual or entity that, directly or indirectly:controls the Undersigned, is controlled by the Undersigned, or is, with the Undersigned, under common control of another individual or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means an individual or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another individual or entity;
  - any responsible official of the Undersigned, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Undersigned, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Undersigned, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Undersigned, nor any Applicable Party, nor any Affiliated Entity of either the Undersigned or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- 3. made an admission of such conduct described in (1) or (2) above that is a matter of record, but have not been prosecuted for such conduct; or
- 4. violated the provisions of Section 2-92-610 of the Municipal Code (**Living Wage Ordinance**).
- C. The Undersigned understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).
- D. Neither the Undersigned, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bidrigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

E.	If the Undersigned is unable to on the Undersigned must explain b						certify to any of the above statements in this Part III, below:						
	-									-			

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### IV. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part IV, under Section 2-32-455(b) of the Municipal Code, the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]

entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. [Additional definitions may be found in Section 2-32-455(b) of the Municipal Code.]
A. CERTIFICATION  The Undersigned certifies that the Undersigned [check one]  is is not
a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.
B. If the Undersigned IS a financial institution, then the Undersigned pledges:
"We are not and will not become a predatory lender as defined in Chapter 2 - 32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
If the Undersigned is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):
<del>-</del>

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### V. <u>CERTIFICATION REGARDING INTEREST IN CITY BUSINESS</u>

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part V.

IIICali	ings when used in this	i dit v.			
1.	Does any official or	ection 2-156-110 of the Municipal Code: mployee of the City have a financial interest in his or her own of any other person in the Matter? [X] No			
or (3) If you the in	below. Instead, review answered "Yes" to formation requested	o" to Item V(1), you are not required to answer Items V(2) w the certification in Item V(4) and then proceed to Part VI. tem V(1), you must first respond to Item V(2) and provide in Item V(3). After responding to those items, review the ad proceed to Part VI.			
2.	Unless sold pursuant to a process of competitive bidding, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part V.				
	Does the Matter invo	ve a City Property Sale?			
3.	If you answered "yes" to Item V(1), provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:				
Name		Business Address			
	<del></del>				
	_				

4. The Undersigned further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

#### VI. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Undersigned must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either (1) or (2) below. If the Undersigned checks (2), the Undersigned must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph (2).

X 1. The Undersigned verifies that (a) the Undersigned has searched any and all records of the Undersigned and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Undersigned has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.  2. The Undersigned verifies that, as a result of conducting the search in step (1)(a) above, the Undersigned has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Undersigned verifies that the following constitutes full disclosure of all such records:

#### SECTION FIVE: CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

#### I. CERTIFICATION REGARDING LOBBYING

A. List below the names of all individuals registered under the federal Lot	obying						
Disclosure Act of 1995 who have made lobbying contacts on behalf of the Undersigned with							
respect to the Matter: [Begin list here, add sheets as necessary]:							
respect to the matter. [Begin list here, and sheets as hedessary].							

[If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Undersigned means that NO individuals registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Undersigned with respect to the Matter.]

- B. The Undersigned has not spent and will not expend any federally appropriated funds to pay any individual listed in Paragraph (A) above for his or her lobbying activities or to pay any individual to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
- C. The Undersigned will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs I(A) and I(B) above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any individual for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Undersigned must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at http://www.whitehouse.gov/omb/grants/sfillin.pdf, linked on the page http://www.whitehouse.gov/omb/grants/grants\_forms.html.

- D. The Undersigned certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".
- E. If the Undersigned is the Applicant, the Undersigned must obtain certifications equal in form and substance to paragraphs I(A) through I(D) above from all subcontractors before it awards any subcontract and the Undersigned must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### II. CERTIFICATION REGARDING NONSEGREGATED FACILITIES

A. If the Undersigned is the Applicant, the Undersigned does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained.

"Segregated facilities," as used in this provision, means any waiting rooms, work areas, restrooms, washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of habit, local or employee custom, or otherwise.

However, separated or single-user restrooms and necessary dressing or sleeping areas must be provided to assure privacy between the sexes.

B. If the Undersigned is the Applicant and the Matter is federally funded, the Undersigned will, before the award of subcontracts (if any), obtain identical certifications from proposed subcontractors under which the subcontractor will be subject to the Equal Opportunity Clause. Contracts and subcontracts exceeding \$10,000, or having an aggregate value exceeding \$10,000 in any 12-month period, are generally subject to the Equal Opportunity Clause. See 41 CFR Part 60 for further information regarding the Equal Opportunity Clause. The Undersigned must retain the certifications required by this paragraph (B) for the duration of the contract (if any) and must make such certifications promptly available to the City upon request.

C. If the Undersigned is the Applicant and the Matter is federally funded, the Applicant will forward the notice set forth below to proposed subcontractors:

### NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES

Subcontractors must submit to the Contractor a Certification of Nonsegregated Facilities before the award of any subcontract under which the subcontractor will be subject to the federal Equal Opportunity Clause. The subcontractor may submit such certifications either for each subcontract or for all subcontracts during a period (e.g., quarterly, semiannually, or annually).

#### III. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

Federal regulations require prospective contractors for federally funded Matters (e.g., the Applicant) and proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations. (NOTE: This Part III is to be completed only if the Undersigned is the Applicant.)

A.	Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)				
	[]Yes	[ ] No	[X] N/A		
B.	Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?				
	[]Yes	[] No	[X] N/A		
C.	Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?				
	[] Yes	[] No	[X] N/A		

# SECTION SIX: NOTICE AND ACKNOWLEDGMENT REGARDING CITY GOVERNMENTAL ETHICS AND CAMPAIGN FINANCE ORDINANCES

The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on individuals or entities seeking City contracts, work, business, or transactions. The Board of Ethics has developed an ethics training program for such individuals and entities. The full text of these ordinances and the training program is available on line at www.cityofchicago.org/Ethics/, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The following is descriptive only and does not purport to cover every aspect of Chapters 2-156 and 2-164 of the Municipal Code. The Undersigned must comply fully with the applicable ordinances.

- [X] BY CHECKING THIS BOX THE UNDERSIGNED ACKNOWLEDGES THAT THE UNDERSIGNED UNDERSTANDS THAT THE CITY'S GOVERNMENTAL ETHICS AND CAMPAIGN FINANCING ORDINANCES, AMONG OTHER THINGS:
- Provide that any contract negotiated, entered into or performed in violation of the City's ethics laws can be voided by the City.
- 2) Limit the gifts and favors any individual or entity can give, or offer to give, to any City official, employee, contractor or candidate for elected City office or the spouse or minor child of any of them, including:
  - a. any cash gift or any anonymous gift; and
  - any gift based on a mutual understanding that the City official's or employee's or City contractor's actions or decisions will be influenced in any way by the gift.
- 3) Prohibit any City elected official or City employee from having a financial interest, directly or indirectly, in any contract, work, transaction or business of the City, if that interest has a cost or present value of \$5,000 or more, or if that interest entitles the owner to receive more than \$2,500 per year.
- 4) Prohibit any appointed City official from engaging in any contract, work, transaction or business of the City, unless the matter is wholly unrelated to the appointed official's duties or responsibilities.
- 5) Provide that City employees and officials, or their spouses or minor children, cannot receive compensation or anything of value in return for advice or assistance on matters concerning the operation or business of the City, unless their services are wholly unrelated to their City duties and responsibilities.
- 6) Provide that former City employees and officials cannot, for a period of one year after their City employment ceases, assist or represent another on any matter involving the City if, while with the City, they were personally and substantially involved in the same matter.
- 7) Provide that former City employees and officials cannot ever assist or represent another on a City contract if, while with the City, they were personally involved in or directly supervised the formulation, negotiation or execution of that contract.

### SECTION SEVEN: CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

#### The Undersigned understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Undersigned understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Undersigned's participation in the Matter and/or declining to allow the Undersigned to participate in other transactions with the City.
- C. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Undersigned waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- D. The Undersigned has not withheld or reserved any disclosures as to economic interests in the Undersigned, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.
- E. The information provided in this EDS must be kept current. In the event of changes, the Undersigned must supplement this EDS up to the time the City takes action on the Matter.

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Undersigned, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

950 W. Monroe Street Development, L.L.C.	Date: August 29,	2005
(Print or type name of individual or legal entity submitting this EDS)  By:		
(sign here		
Print or type name of signatory:		
Philip I. Mappa		
Title of signatory:		
Manager		
Subscribed to before me on [date] _August 29, 2005	_, at <u>Cook</u>	County
Balbaca Sulph Notary Public	OFFICIAL SE BARBARA A SE NOTARY PUBLIC - STAT MY COMMISSION EXPE	LEFSKI {